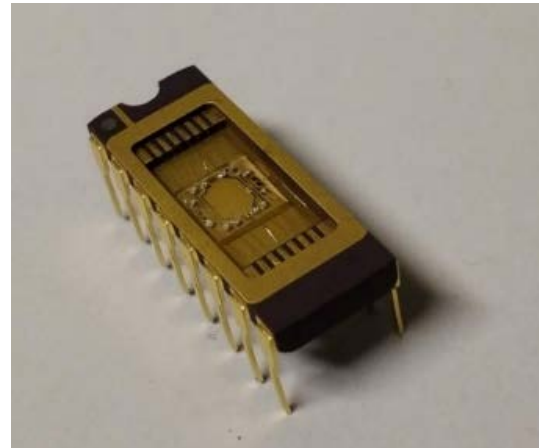
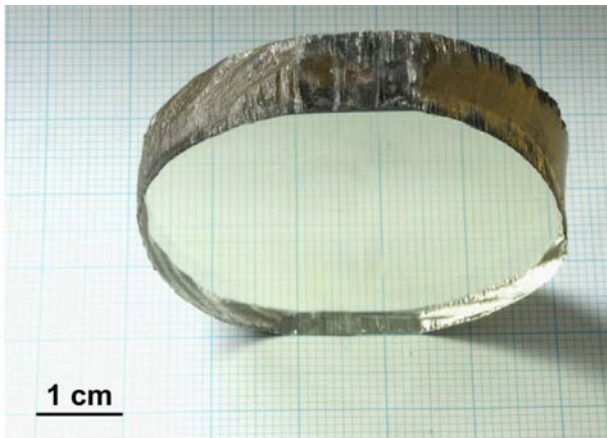


Transport properties in β -Ga₂O₃ thin films

Saskia F. Fischer

Novel Materials Group, HU Berlin

Johannes Boy, Robin Ahrling, Martin Handwerg, Rüdiger Mitdank



DFG

FI 932/10

FI 932/11



Leibniz Institute for Crystal Growth

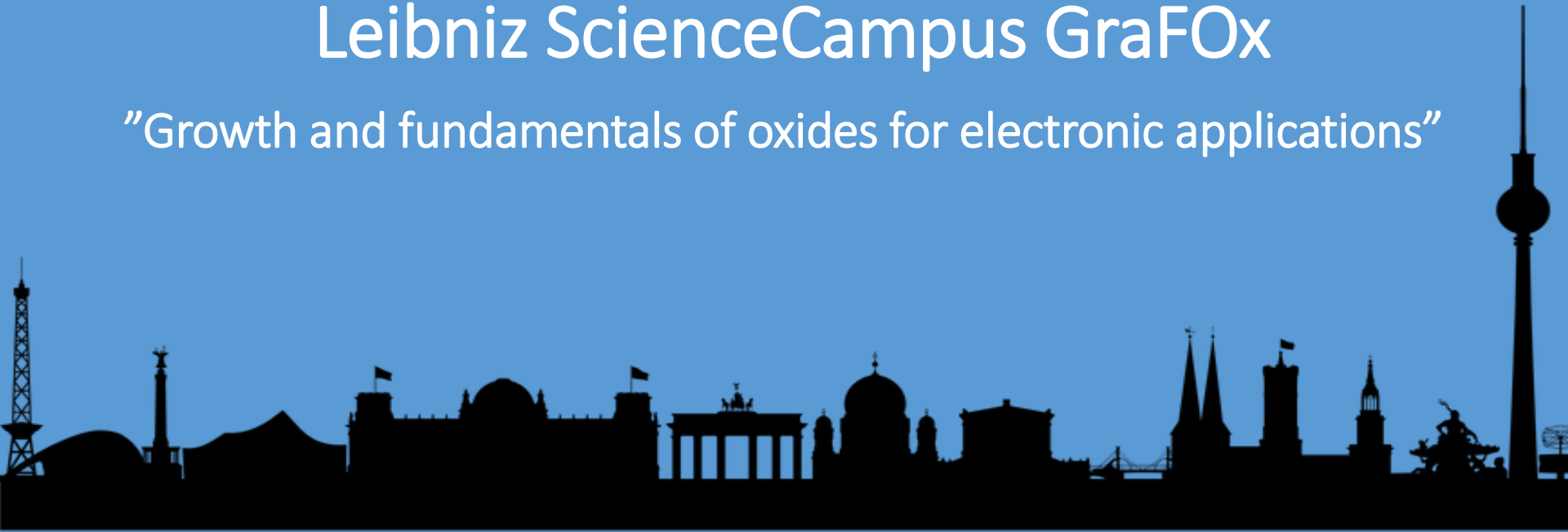


Andreas Popp/Günther Wagner,
Zbigniew Galazka



Leibniz ScienceCampus GraFOx

“Growth and fundamentals of oxides for electronic applications”



A transparent wide-band gap semiconductor



Galazka *et al.*,
Journal of Crystal Growth **404**, 184–191 (2014).

Single crystal growth: Z. Galazka

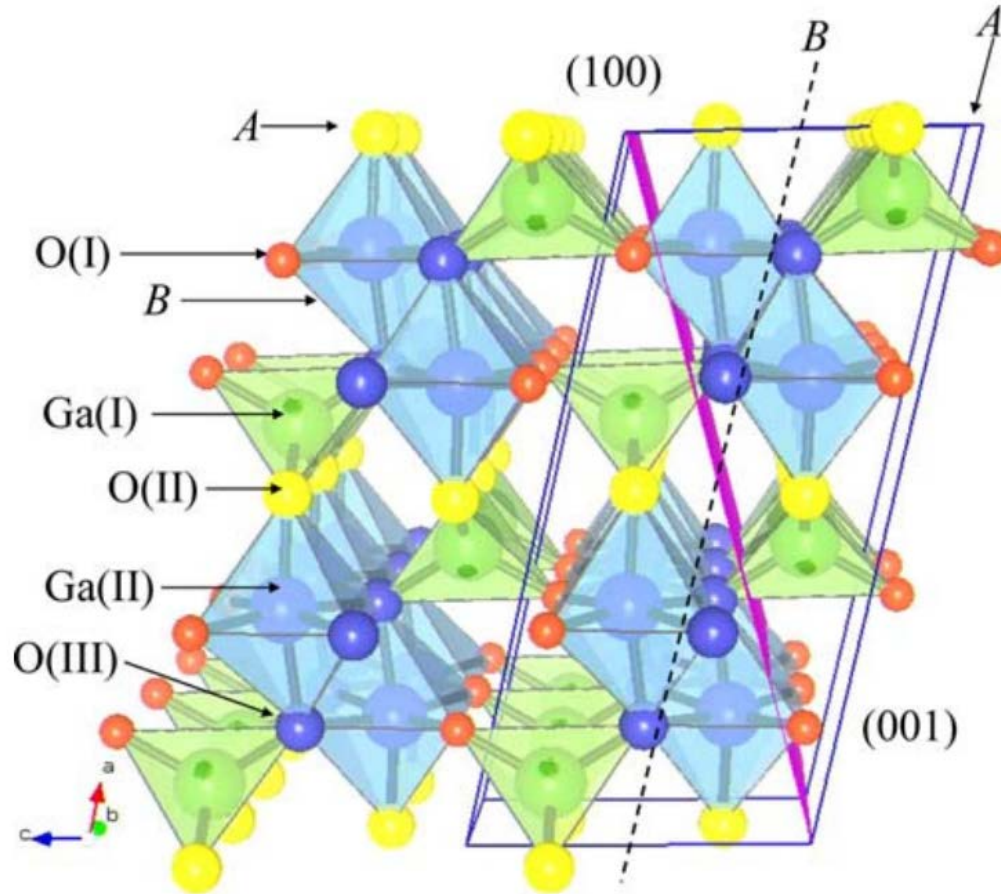
Leibniz Institute for Crystal Growth, Berlin, Germany



β -Ga₂O₃ : Monoclinic crystal structure

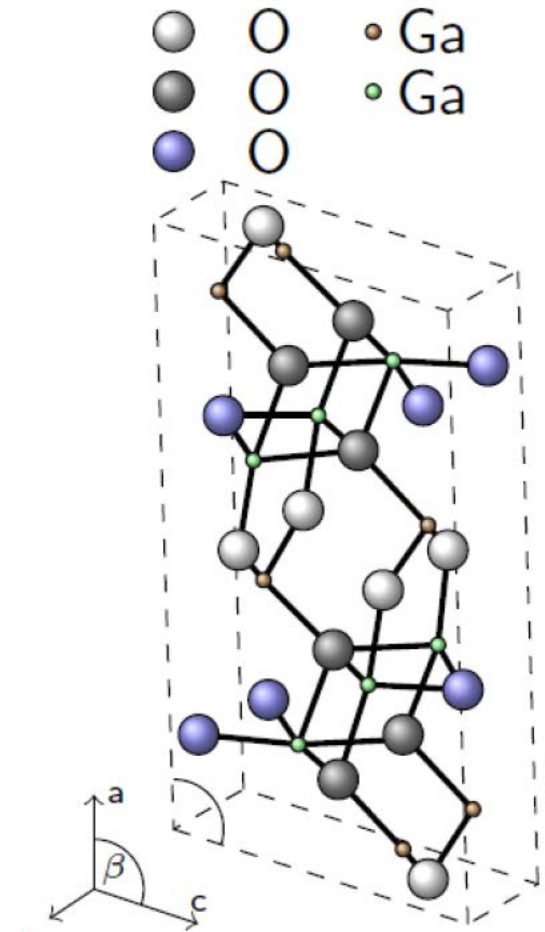
$a = 12.214 \text{ \AA}$
 $b = 3.037 \text{ \AA}$
 $c = 5.798 \text{ \AA}$

$\beta = 103.83^\circ$



cleavage planes:

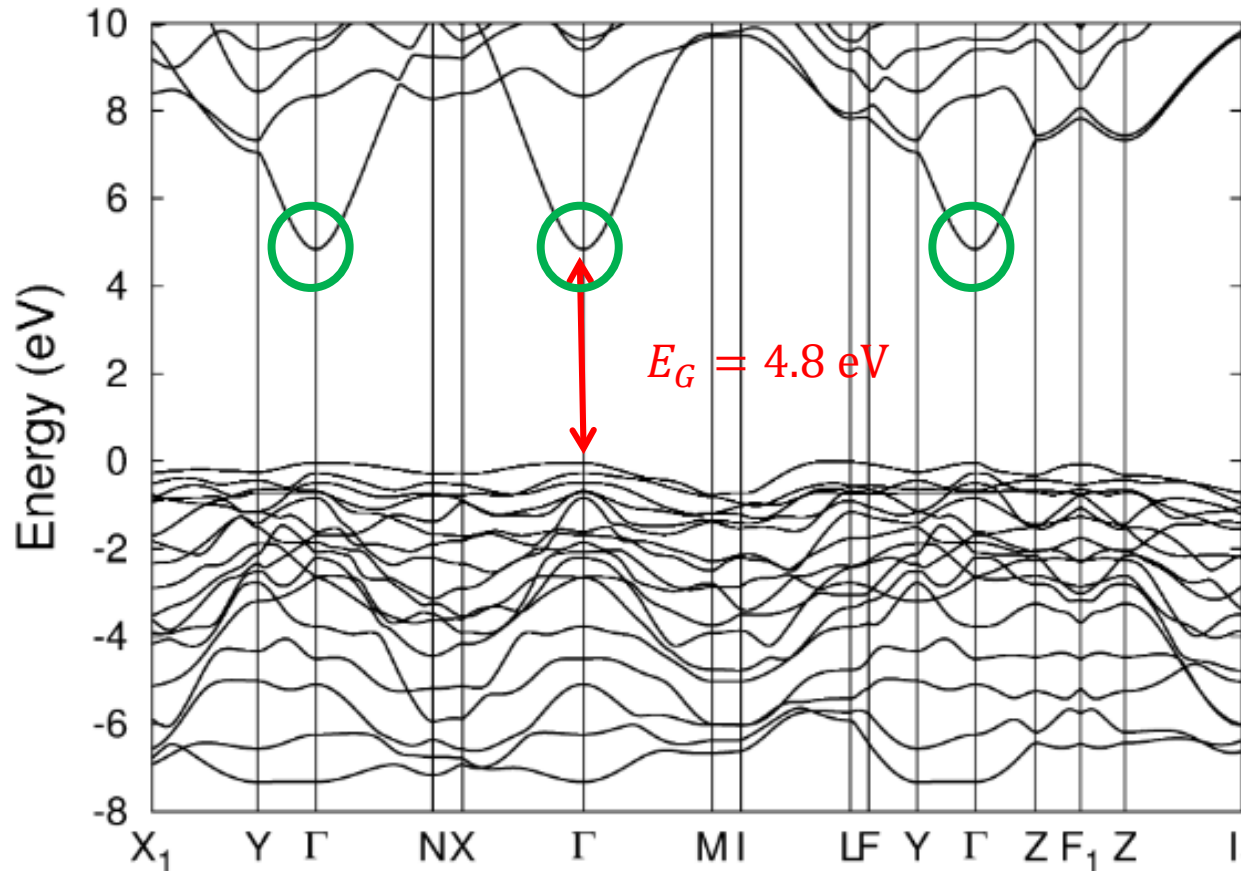
(100), (001)



β -Ga₂O₃ : Electronic band structure

Charge carriers:
Electrons

Transport:
parabolic
band approx.



effective
electron
mass

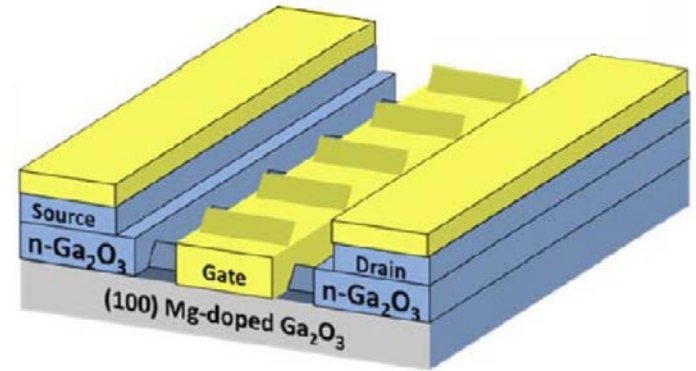
$0.313 m_e$

Mohamed, *et al.*,
*Journal of Physics:
Conference Series*
286, 012027
(2011).

Opportunity of high breakdown field in Ga₂O₃

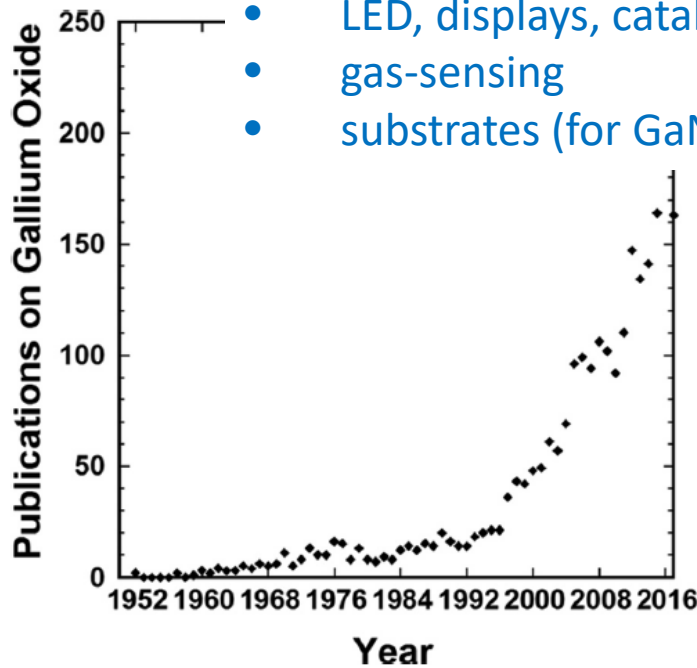
for high-power-devices

- E_{br} predicted to be ~ 8 MV/cm
- key advantage of Ga₂O₃
- Larger than the theoretical limits for GaN and SiC



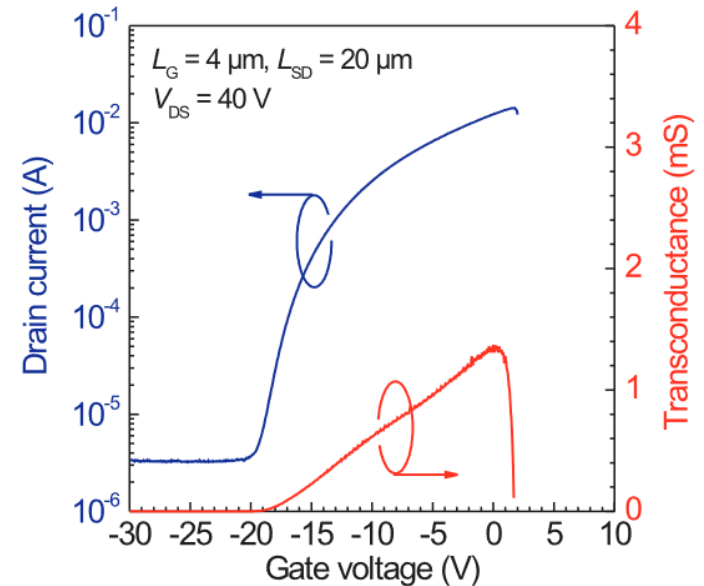
applications also in...

- LED, displays, catalysts
- gas-sensing
- substrates (for GaN)



Pearnton *et al.*, *Appl. Phys. Rev.* **5**, 011301 (2018).

Chabak *et al.*,
Appl. Phys. Lett. **109**, 213501 (2016).



M. Higashiwaki, *et al.*;
Appl. Phys. Lett. **100**, 013504 (2012).
Review (2022)

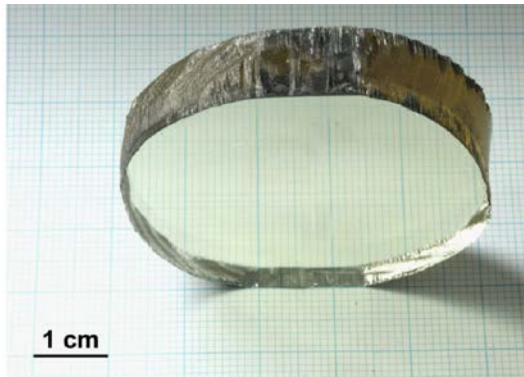
Material properties

Material Parameter	Si	GaAs	4H-SiC	GaN	Diamant	β -Ga ₂ O ₃	ZnGa ₂ O ₄
Bandlücke E_g [eV]	1.14	1.43	3.25	3.4	5.5	4.8	4.6
Dielektrizitätskonstante ϵ_s	12	13	10	9	5.5	11	9.9
Durchbruchfeld E_{Cr} [MV/cm]	0.3	0.4	2.5	3.3	10	8	6.5
Elektronenbeweglichkeit μ [cm ² /(Vs)]	1450	8400	1000	1200	2000	300	107
Wärmeleitfähigkeit λ [W/(mK)]	150	50	370	250	2000	10-30	22

Bulk single crystals:

Czochralski-growth

- As-grown, no doping
- $n = 9 \cdot 10^{16} - 6.5 \cdot 10^{17} \text{ cm}^{-3}$
- $d = 233 - 525 \mu\text{m}$



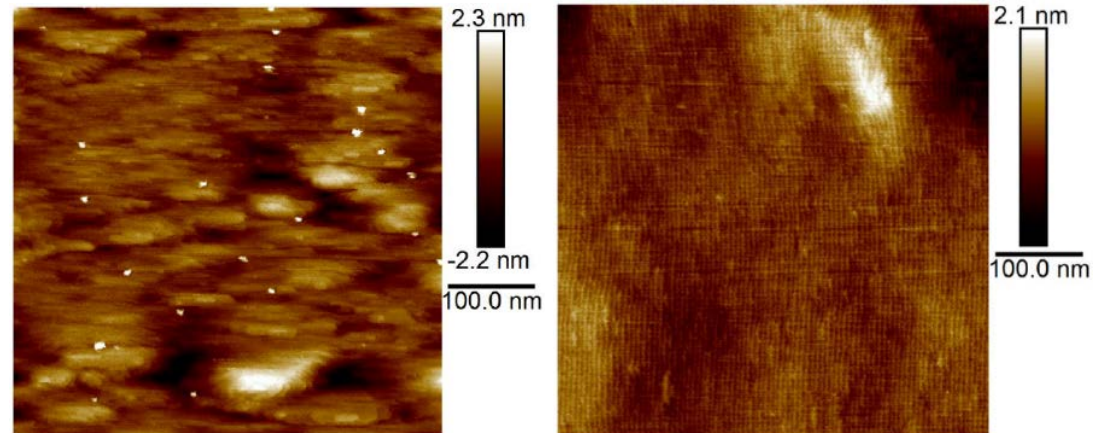
Galazka *et al.*,
J. Crystal Growth **404**,
184–191 (2014).

Z. Galazka, IKZ

Epitaxial layers:

Metallorganic Vapor Dep. (MOCVD)

- Si-Doping
- $n = 2.5 \cdot 10^{17} - 1.6 \cdot 10^{18} \text{ cm}^{-3}$
- $d = 25 - 225 \text{ nm}$
- 2D island growth & (rough) **step flow growth**



Mohamed, *et al.*, *J. Physics: Conf. Series* **286**, 012027 (2011).

Groups of G. Wagner / A. Popp, IKZ

β -Ga₂O₃ – A transparent wide-band gap *semiconductor*

- **Electrical conductivity**
- **Thermal conductivity**
- **Thermo-electricity**

bulk
&
thin film effects

Outlook: Giant-phonon drag *increase* by thin-film design

Thermo-/electric micro measurement platform for bulk & thin films

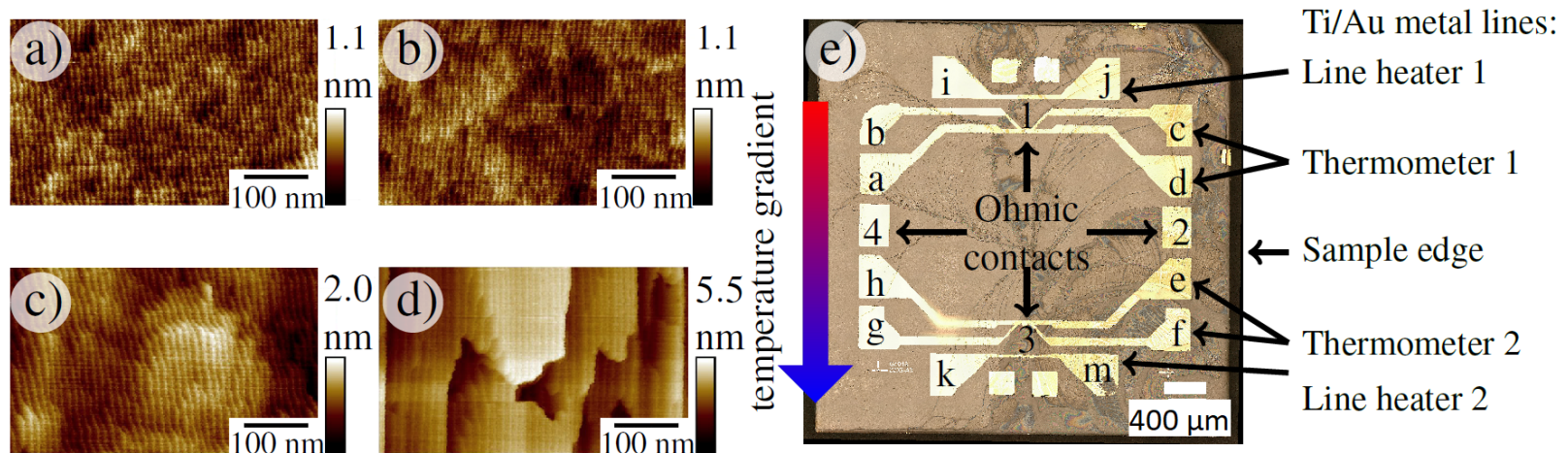
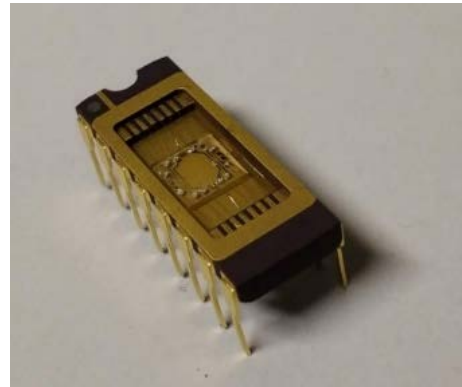
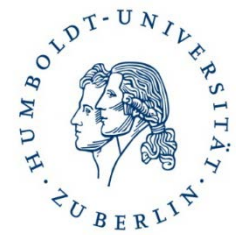


FIG. 1. (a) to (d) exemplary AFM measurement results of the investigated samples. (a) is the substrate of the $d = 152$ nm sample, (b) the substrate of the $d = 50$ nm sample, (c) the $d = 152$ nm thin film and (d) the $d = 50$ nm thin film. (e) Microscopic picture of a β - Ga_2O_3 thin film with the thermoelectric measurement platform consisting of Ti/Au (7 nm/35 nm) metal lines. Ohmic contacts were achieved by Al-wedge bonding at (1) - (4). The line heater and thermometer (a) - (m) were contacted by gold wires with indium contacts.

Electrical properties

Bulk

Thick epitaxial films

Thin epitaxial films



Limiting effects of electron mobility
- even vor ideal thin films

A „zoo" of scattering mechanisms

phonon



electron



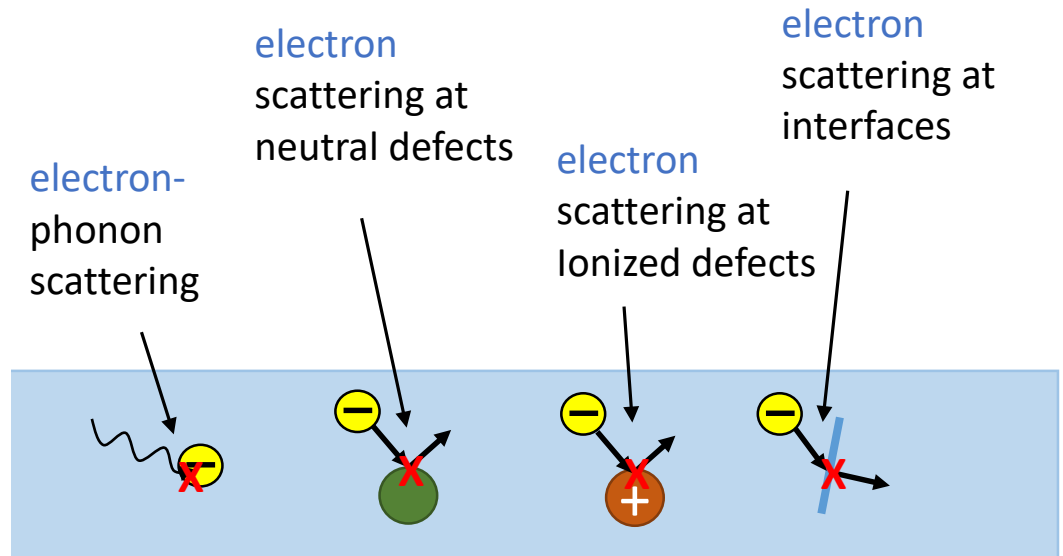
$$\sigma = \frac{e^2 n}{m^*} \langle \tau \rangle, \quad R_H = \frac{1}{ne} \frac{\langle \tau^2 \rangle}{\langle \tau \rangle^2}$$

Reduced by...

Temperature

Expitaxy

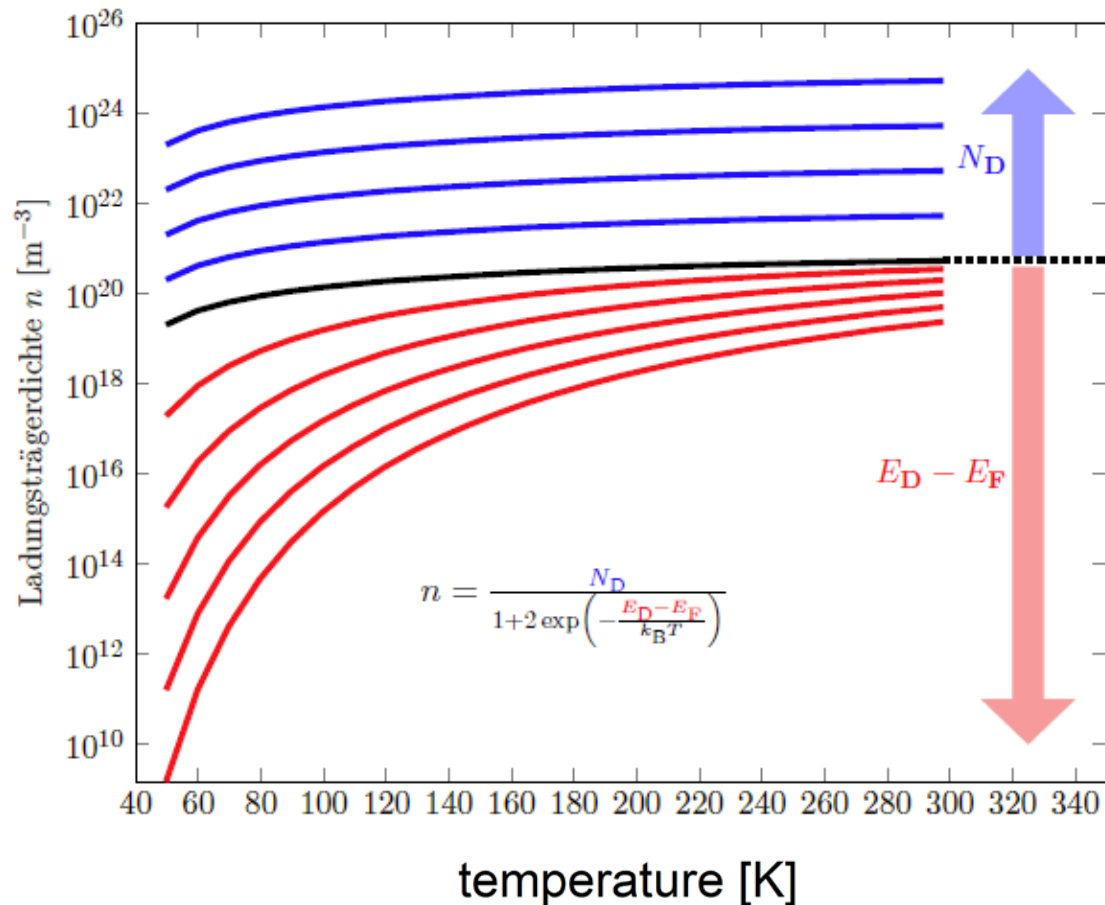
Homoepitaxy



Electrical properties

Electron density

$$n = \int_0^{\infty} D(E) f(T, E) dE \quad n = \int_0^{\infty} \frac{(2m^*)^{\frac{3}{2}}}{2\pi^2 \hbar^3} \cdot \frac{\sqrt{E + E_F - E_C}}{1 + \exp\left(\frac{E}{k_B T}\right)} dE$$

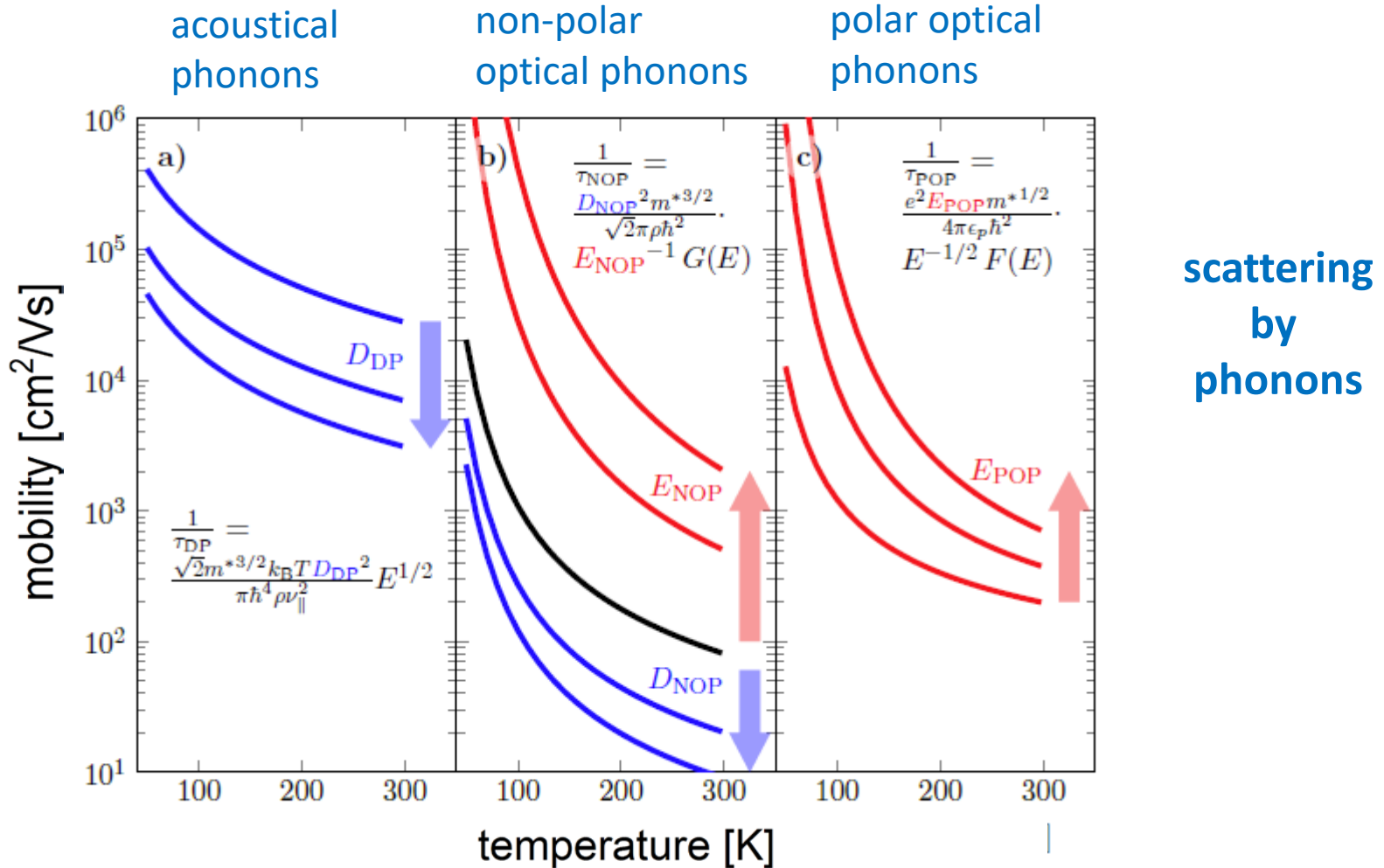


T. Oishi, *et al.*;
Appl. Phys. Express **8**, 031101 (2015).

Electrical properties

Electron mobility

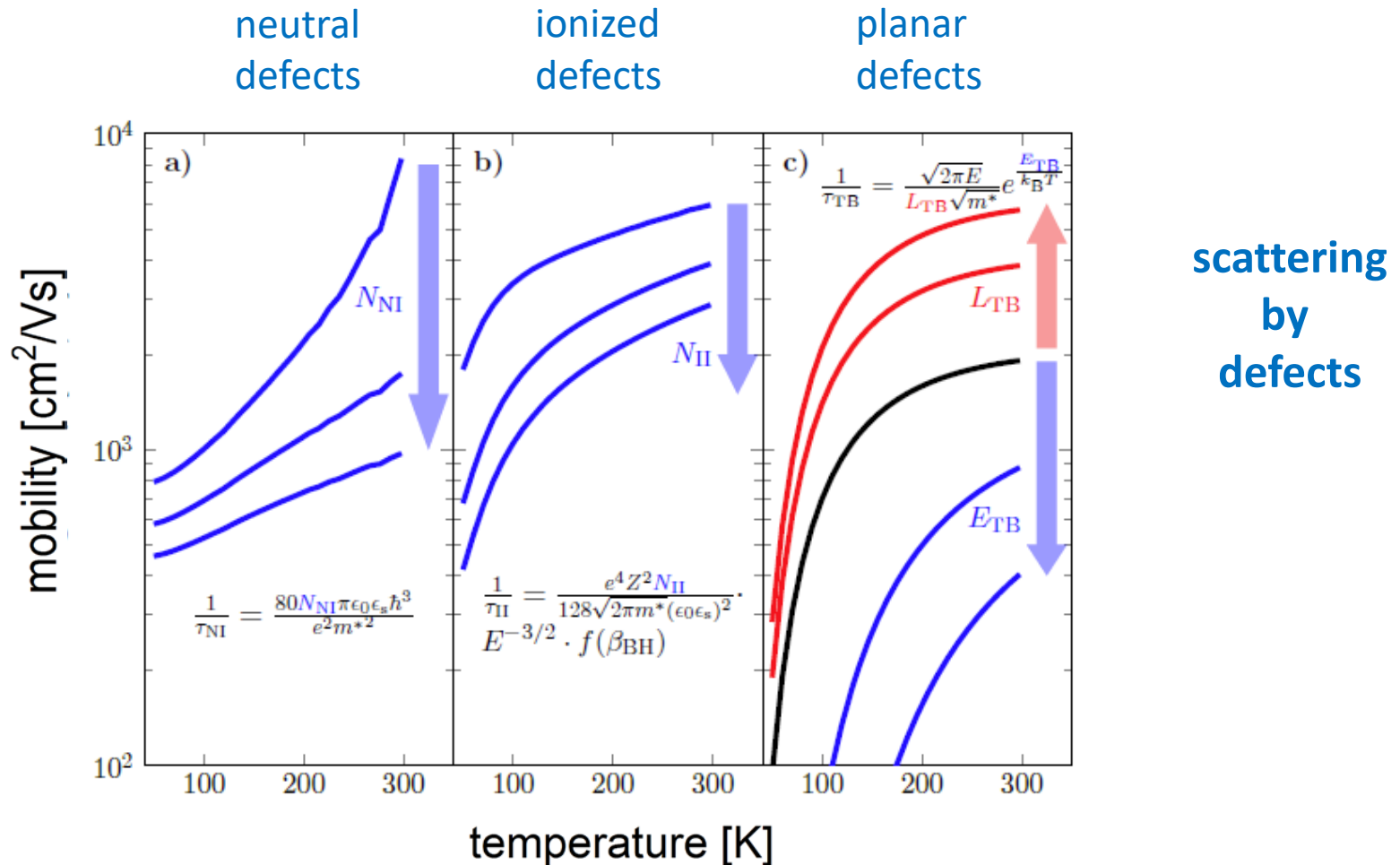
$$\mu = \frac{e\langle\tau_m\rangle}{m^*} = \frac{e}{m^*} \frac{\int_0^\infty E^{3/2} \tau_m(E) f(E) dE}{\int_0^\infty E^{3/2} f(E) dE}.$$



Electrical properties

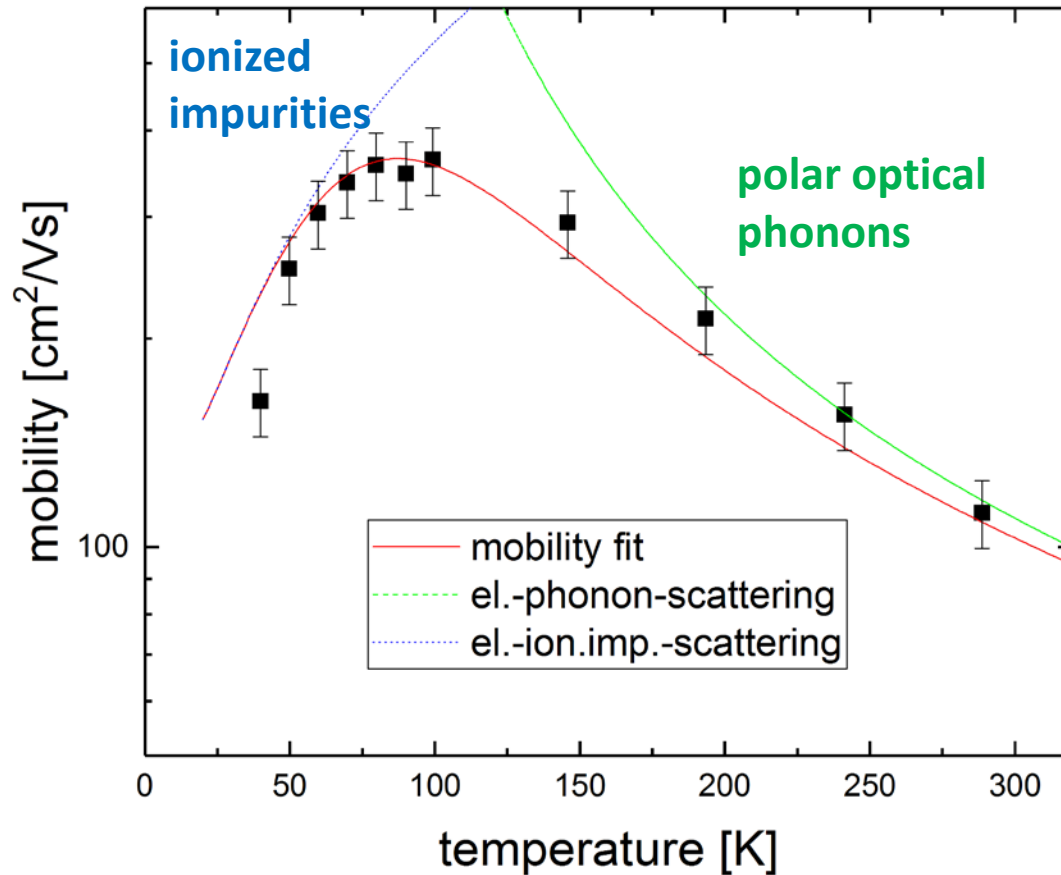
Electron mobility

$$\mu = \frac{e\langle\tau_m\rangle}{m^*} = \frac{e}{m^*} \frac{\int_0^\infty E^{3/2} \tau_m(E) f(E) dE}{\int_0^\infty E^{3/2} f(E) dE}$$



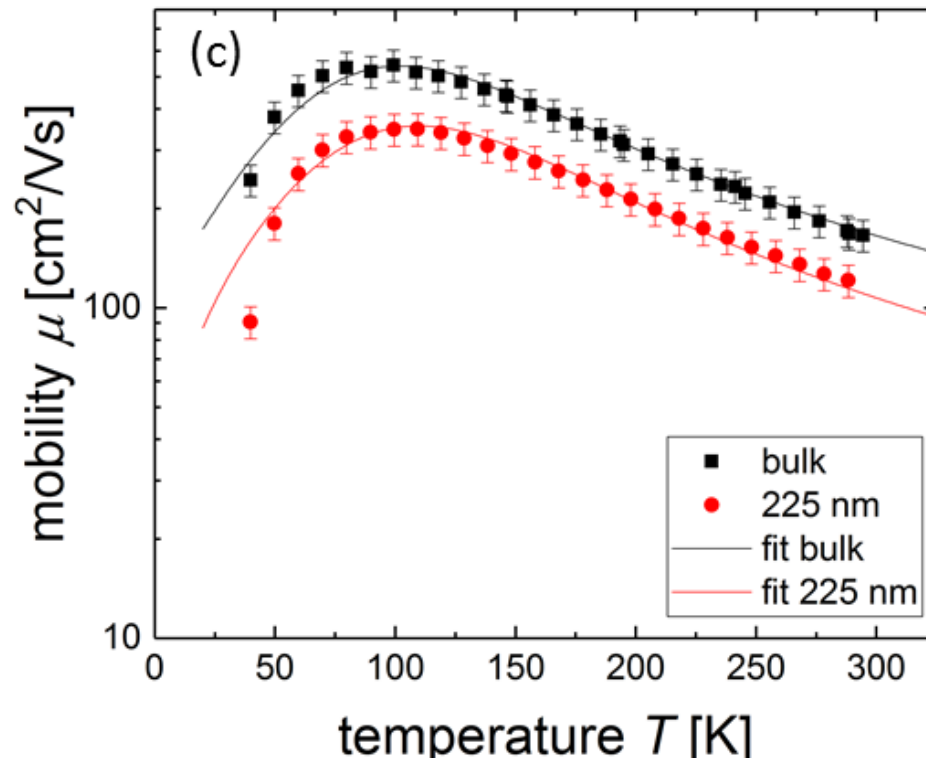
Charge transport in homoepitaxial β -Ga₂O₃-bulk

Dominant scattering mechanisms in β -Ga₂O₃ single crystal



Charge transport in homoepitaxial β -Ga₂O₃-films

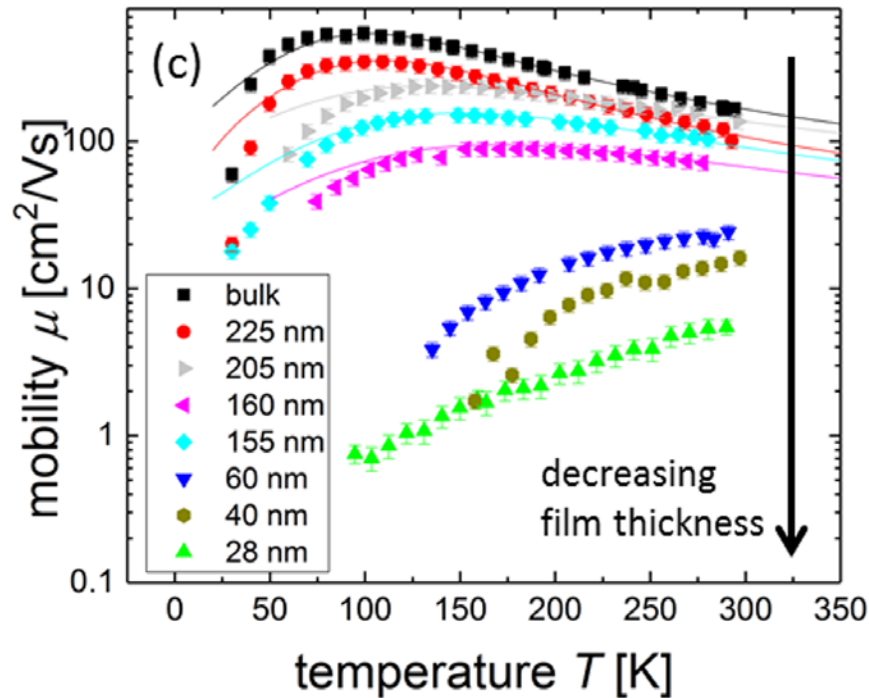
single crystal bulk & 200 nm film



➔ high-quality
homoepitaxial
films

Charge transport in homoepitaxial β -Ga₂O₃-films

Mobility suppression with decreasing film thickness



Real films:

- Neutral impurities, hopping transport
- **Twin boundary scattering**

$$\mu_{\text{tb}} = \frac{eL}{\sqrt{8k_{\text{B}}T\pi m^*}} \exp\left(-\frac{E_{\text{B}}}{k_{\text{B}}T}\right)$$

J.W. Orton, *et al.*;

Rep. on Prog. in Physics **43**, 1263 (1980).

R. Schewski, *et al.*;

J. of Appl. Phys. **120**, 225308 (2016).

- ... but also for **ideal thin films**:

➔ **Surface scattering**
&

boundary effects

R. Ahrling, *et al.*; Scientific Reports **9**, 13149 (2019).

Charge transport in homoepitaxial β -Ga₂O₃-films

Surface scattering & boundary effects

- length scales:

thickness t
mean free path l
de Broglie wavelength λ_e
surface roughness r_S

- In metals: $l \approx t, \lambda_e \ll l, r_S \gg \lambda_e$

- Fuchs-Sondheimer model: l/t determines mobility

K. Fuchs, Math. Proc. of the Cambridge Philosophical Society **34**, 100 (1938).
E. Sondheimer, Advances in Physics **1**, 1 (1952).

- Here: $\lambda_e \approx t, \lambda_e \gg l, \lambda_e \gg r_S$

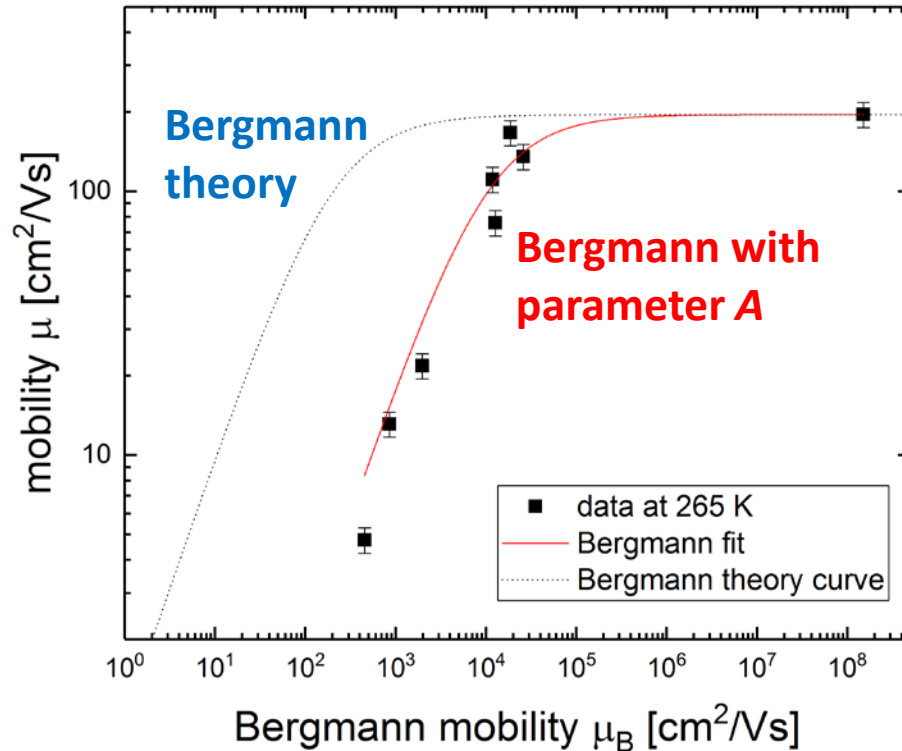
- Bergmann model: quantum mechanical waveguide effect

$$\mu_{\text{Bergmann}} = \frac{e}{\hbar} \left(\frac{t}{\lambda_e} \right)^2 \ln \left(\frac{t}{\lambda_e} \right) \frac{1}{nt}$$

G. Bergmann, *et al.*; PRL **94**, 106801 (2005).

Charge transport in *ideal* thin films

Bergman model



$$\mu_{\text{tot}} = \left(\frac{1}{A \cdot \mu_{\text{Bergmann}}} + \frac{1}{\mu_{\text{vol}}} \right)^{-1}$$

- Mobilities fit to Bergmann model
- Quantitative agreement for $A = 0.02$

G. Bergmann, *et al.*,
PRL **94**, 106801 (2005).

Charge transport in homoepitaxial β -Ga₂O₃-films

Summary

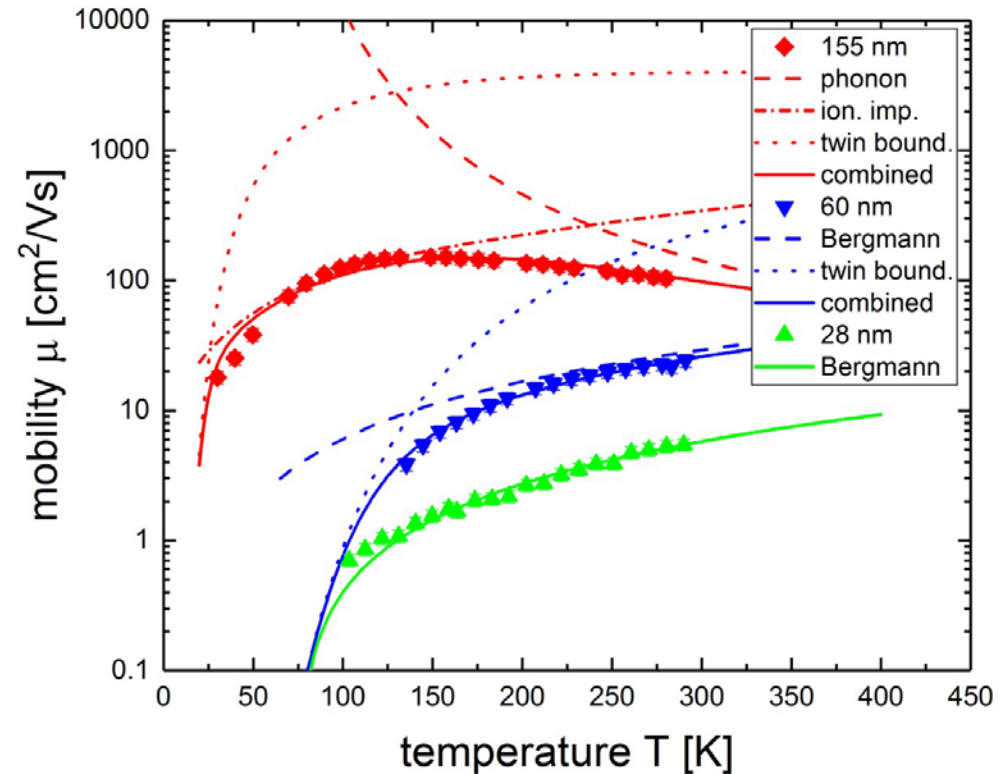
- **Thick homoepitaxial (100) β -Ga₂O₃ films (above 150 nm) behave bulk-like**

- Optical phonon scattering dominates μ for high T
- Ionized impurity scattering dominates μ for low T

- **Thin films (below 100 nm) decrease in and change in $\mu(T)$ behavior**

- Additional scattering mechanism occurs \rightarrow mobility reduction
- Ideal films: Described by quantum mechanical waveguide effect

- Mobility reduction has to be taken into account for use of thin β -Ga₂O₃ films in devices



Thermal properties

Bulk



Anisotropy in thermal conductivity

Homoepitaxial films



Phonon-transparent interfaces

Ballistic phonon transport

Thermal transport measurements

Thermal conduction differential equation:
$$\frac{\partial^2 \Delta T(r, t)}{\partial r^2} + \frac{1}{D} \frac{\partial \Delta T(r, t)}{\partial t} = 0$$

thermal diffusivity: \hat{D}
thermal conductivity: $\hat{\lambda} = \hat{D} \cdot C_V \cdot \rho$

D. G. Cahill, *et al.*;
Phys. Rev. B, **50**, 6077 (1994).

Experimental setup – electrical line heater



Solution:

heating power P

Bessel function (zero order second kind) $K_0(qr)$

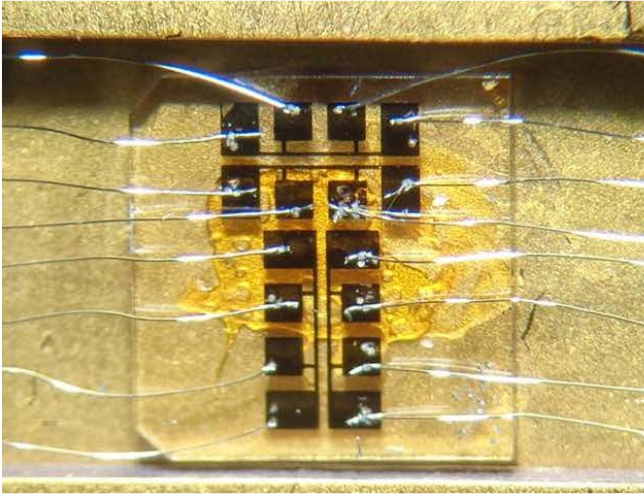
heater

$$\Delta T(r) = \frac{P}{2\pi\hat{\lambda}L} K_0(qr)$$

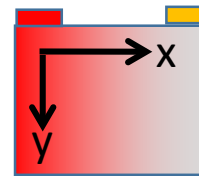
inverse thermal penetration depth
 $q = \sqrt{i2\omega/\hat{D}}$

Thermal transport measurements

2 ω -method for anisotropy characterization



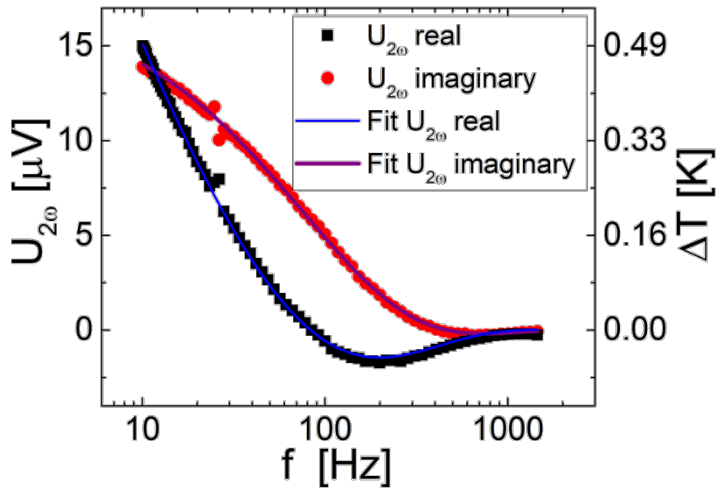
Heater Sensor



A. T. Ramu and J. E. Bowers;
Rev. Sci. Instr. **83** 124903 (2012).

$$\Delta T = \frac{P}{\pi L \bar{\lambda}} \frac{1}{2\omega_h} \int_{-\omega_h}^{\omega_h} \frac{1}{2\omega_s} \int_{-\omega_s}^{\omega_s} K_0(q \cdot (d + o - p)) \, do \, dp$$

$\propto U_{2\omega}$



$$\bar{\lambda} = \sqrt{\lambda_x \cdot \lambda_y}$$

$$q = \sqrt{i2\omega/D_x}$$

We obtain: $\bar{\lambda}_{[100],[001]}$, $\bar{\lambda}_{[100],[010]}$, $D_{[001]}$, $D_{[010]}$

Thermal transport in β -Ga₂O₃ single crystals

Room temperature: thermal diffusivity D and thermal conductivity λ for bulk

- [100]-oriented Czochralski grown insulating Mg-doped β -Ga₂O₃ single-crystal

- Diffusivity D and conductivity λ :

$$\lambda = D \cdot C_V \cdot \rho$$

axis	L.P.[1]	D	λ	$\lambda_{\text{ex,ref}}$ [2]	$\lambda_{\text{theo,ref}}$ [3]
	Å	mm ² s ⁻¹	Wm ⁻¹ K ⁻¹	Wm ⁻¹ K ⁻¹	Wm ⁻¹ K ⁻¹
a [100]	12.2	3.7 ± 0.4	11 ± 1	11 ± 1	16
b [010]	3.0	9.6 ± 0.5	29 ± 2	27 ± 2	22
c [001]	5.8	7.1 ± 0.4	21 ± 2	15 ± 2	21

[1] V. M. Bermudez, *Chem. Phys.* **323** 193 (2006)

[2] Z. Guo *et al.*, *Appl. Phys. Lett.* **106**, 111909 (2015)

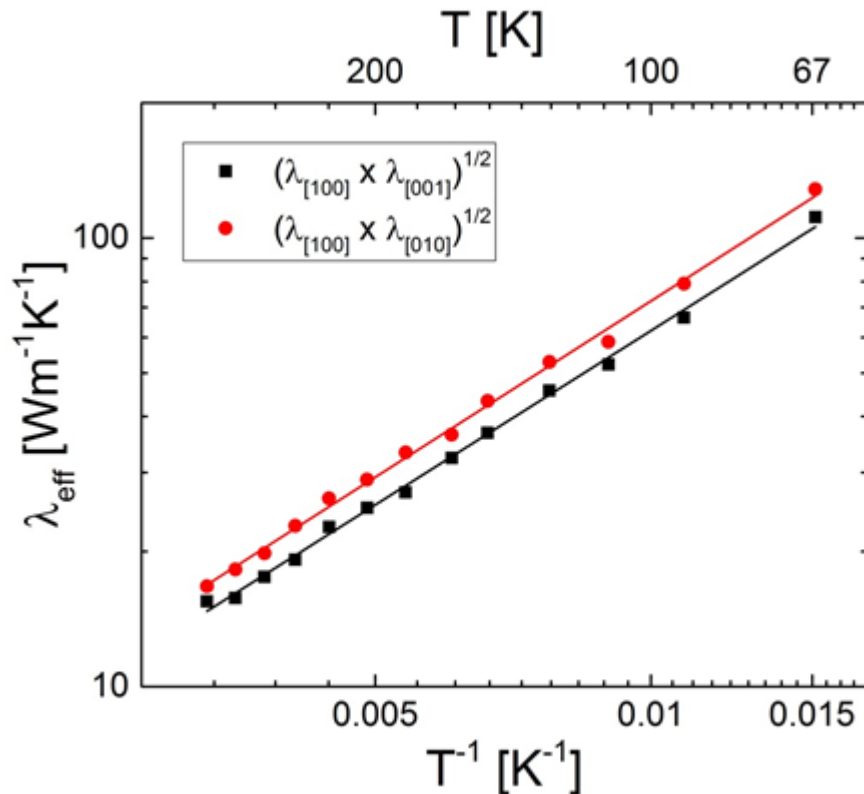
[3] M. D. Santia *et al.* *Appl. Phys. Lett.* **107**, 041907 (2015)

- Highest thermal conductivity value along [010] → (010) no cleavage plane
- Lowest thermal conductivity value along [100] → (100) cleavage plane

Thermal transport in β -Ga₂O₃ single crystals

Temperature dependent thermal conductivity λ

- temperature-independent anisotropy factor: $\frac{\lambda_{[010]}}{\lambda_{[001]}} = 1.4 \pm 0.1$



M. Handwerg, *et al.*;
Semicond. Sci. Technol. **31**, 125006 (2016).

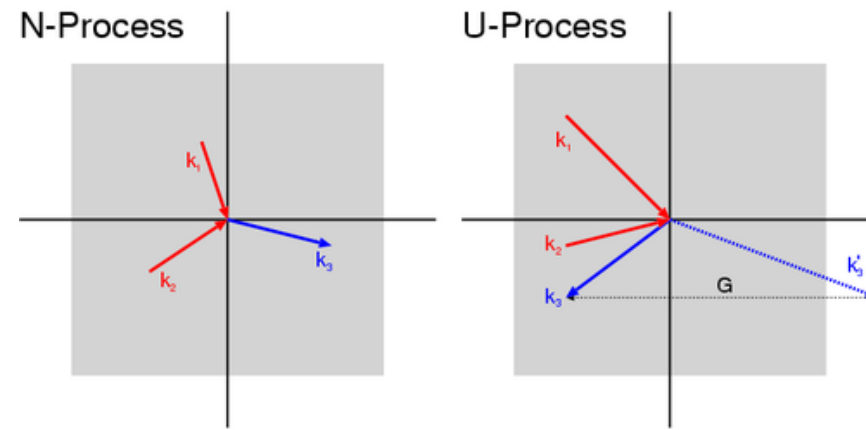
Temperature dependence:

$$\lambda = \frac{1}{3} C_V(T) \Lambda(T) v_s$$

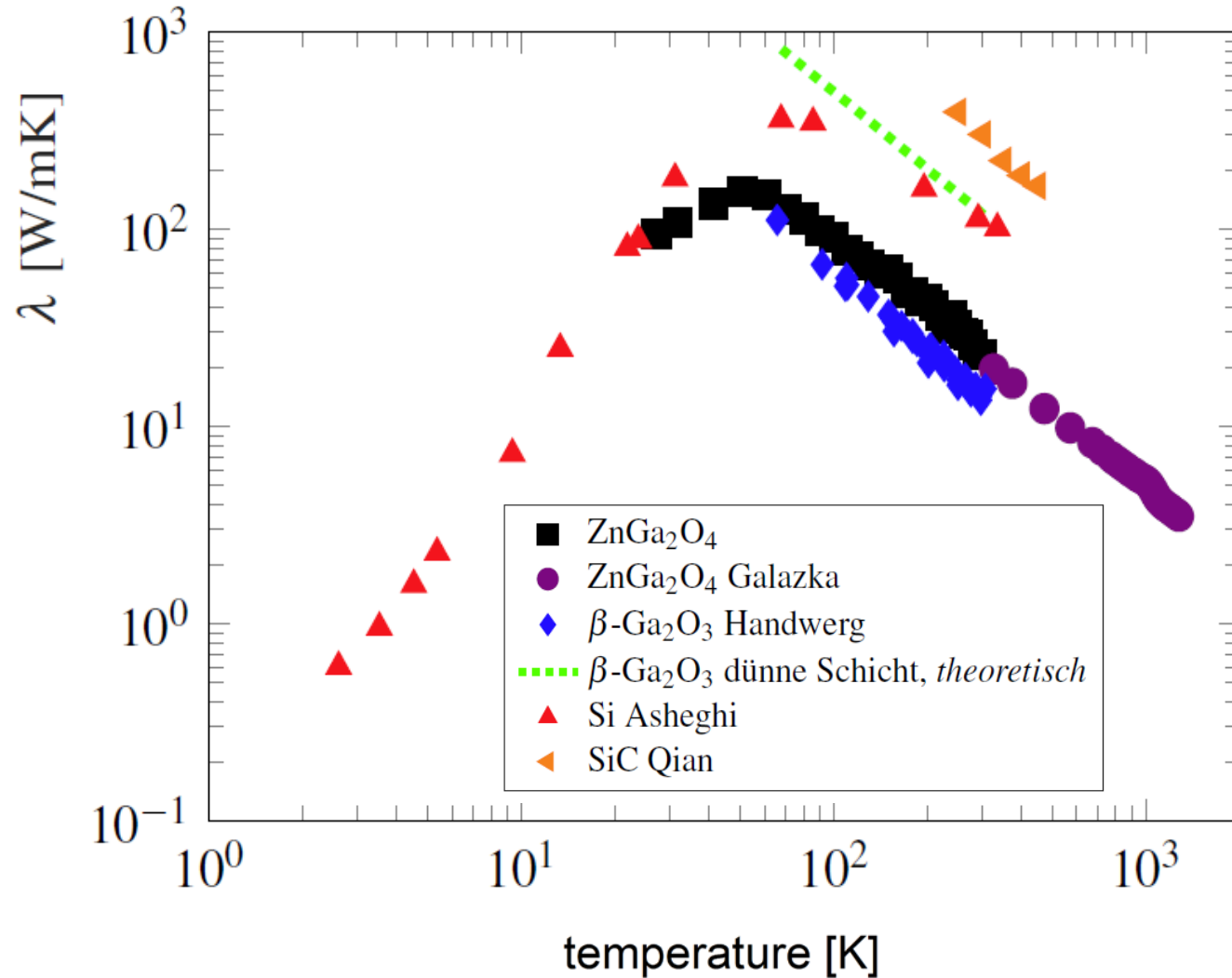
Solid line:

$$C_V \cdot \Lambda \propto T^m \text{ with } m = 1.3 \pm 0.1$$

phonon-phonon-Umklapp-scattering:

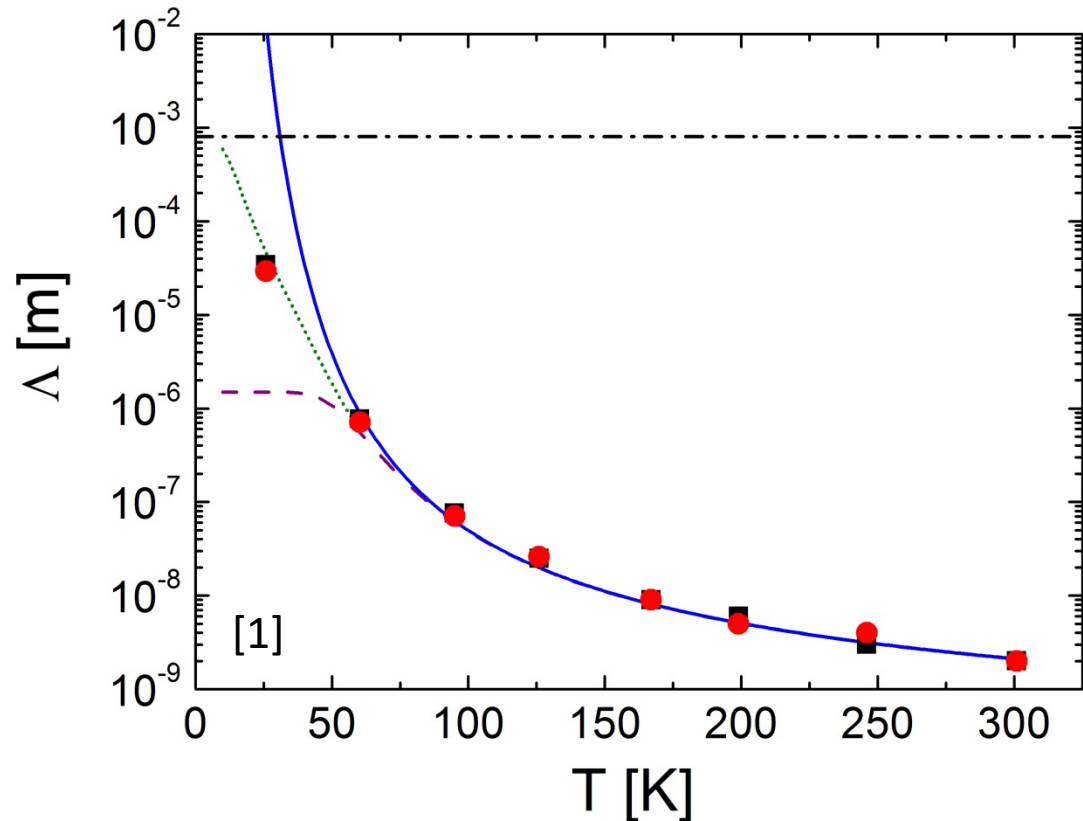


Comparison: Thermal conductivity λ



Thermal transport in β -Ga₂O₃ single crystals

Phonon mean free path



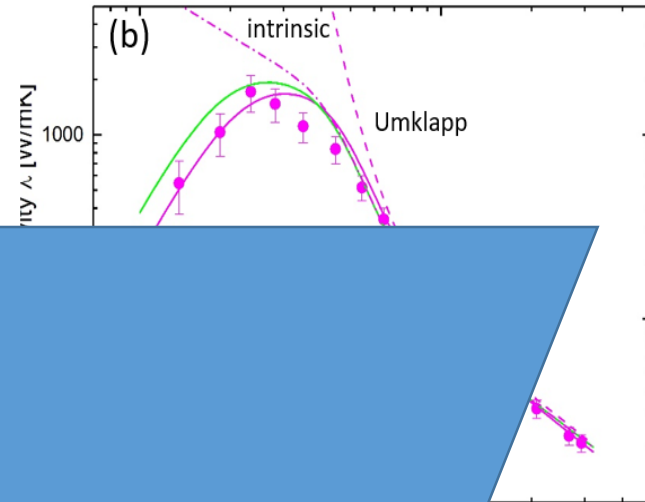
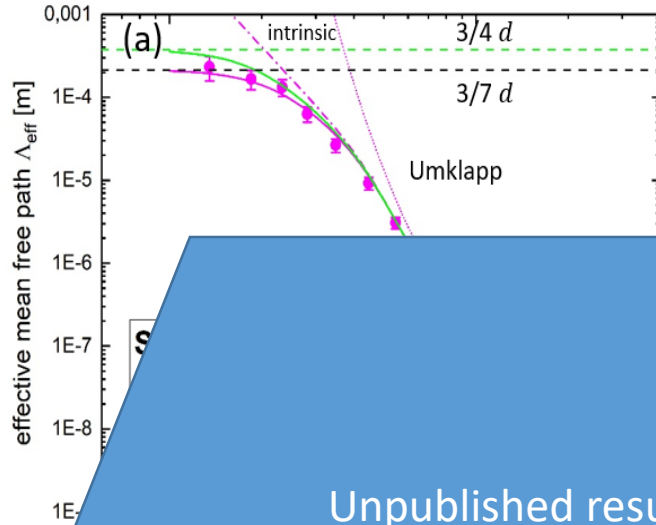
- For low temperatures or thin films the phonon mean free path reaches the sample size:

→ **towards Casimir-limit**

- There the thermal conductivity is maximized

→ **ballistic phonon transport**

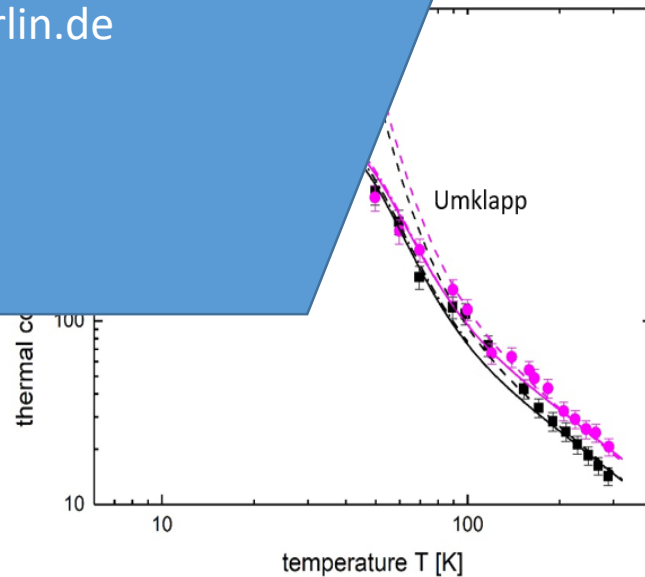
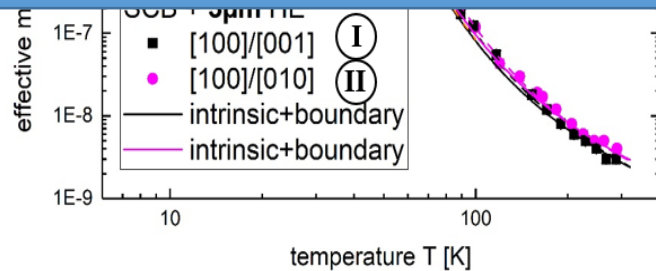
Thermal transport in $\beta\text{-Ga}_2\text{O}_3$ single crystals + homoepitaxial films



bulk

Unpublished results.
For pre-/reprint requests:

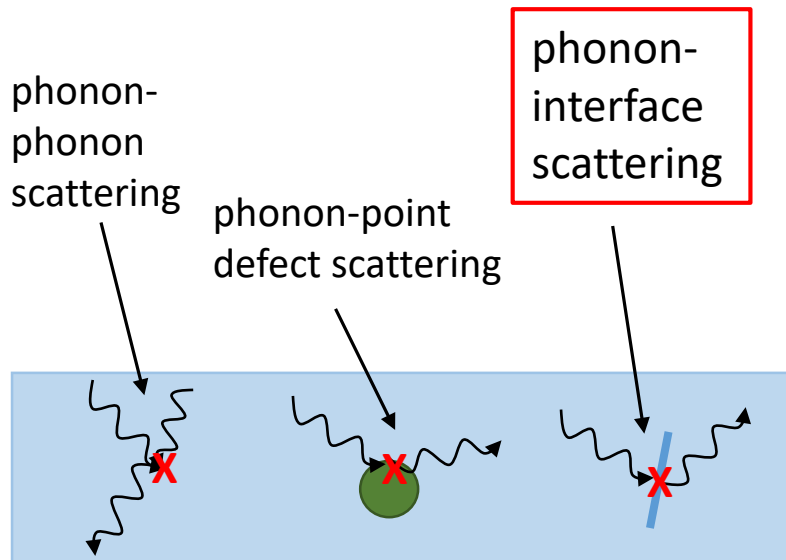
saskia.fischer@hu-berlin.de



bulk
+
homoepi
film

Phonon scattering mechanisms

phonon 



Reduced by...

Temperature

Expitaxy

Homoepitaxy

Thermal transport in thin films

Summary

Mg-doped insulating β -Ga₂O₃ bulk crystals and homo-epi films

- RT: $\lambda_{[100]} = 11 \pm 1$, $\lambda_{[010]} = 29 \pm 2$ and $\lambda_{[001]} = 21 \pm 2$ W/(mK)
- Phonon-transparent interface in homoepitaxial films
- Ballistic phonon transport at low temperatures

A remark on polycrystalline films...

- thermal conductivity is decreased due to a reduced phonon mean free path.

M. Handwerg, *et al.*; *Semicond. Sci. Techn.* **30**, 024006 (2015).

M. Handwerg, *et al.*; *Semicond. Sci. Techn.* **31**, 125006 (2016).

R. Mitdank, *et al.*; *Phys. Stat. Sol., A* **211**, 543-549 (2014).

R. Ahrling, PhD Thesis (2023)

Thermoelectric properties

Bulk and thick epitaxial films



Diffusive TE & phonon drag

Thin epitaxial films



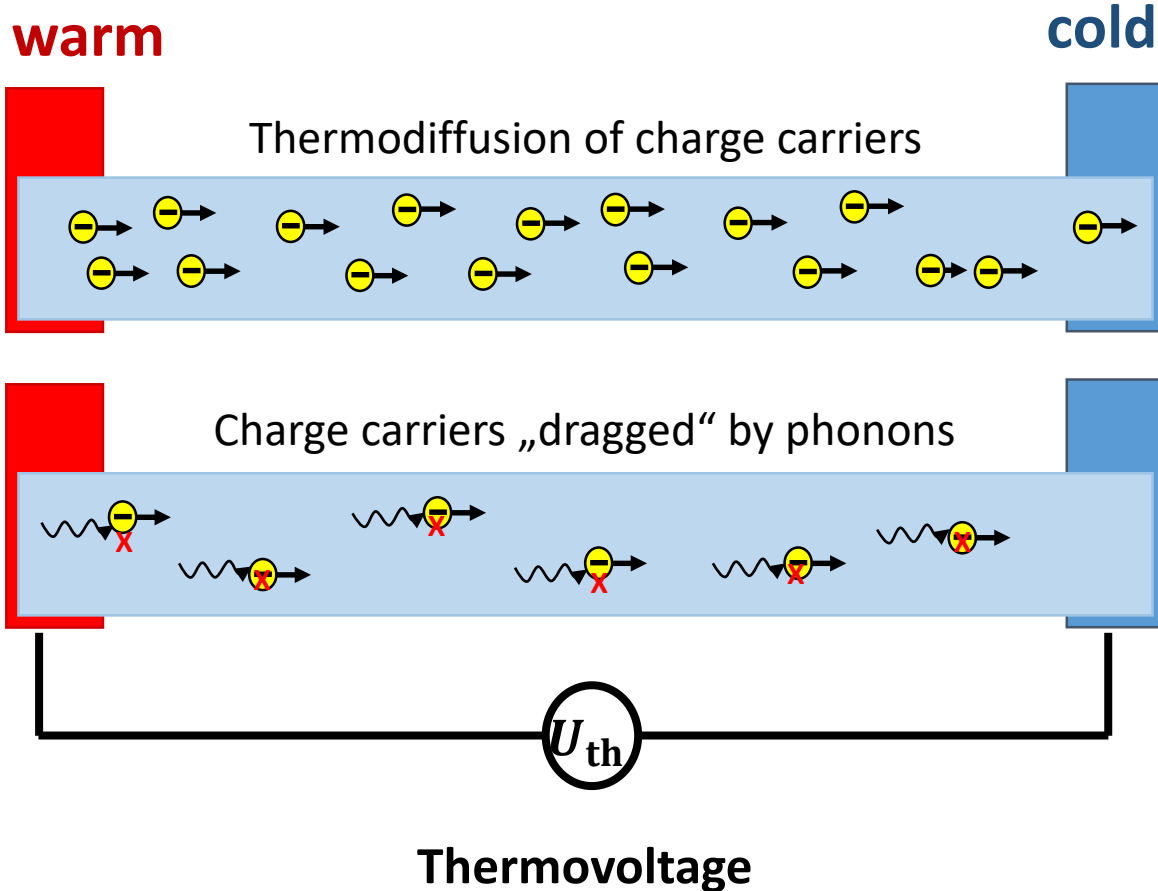
Phonon-transparent interfaces

Giant-phonon drag by design

Thermoelectric effects in semiconductors

Thermal gradient: ΔT

Electric field occurs by two processes:



C. Herring,
Phy. Rev. **96**, 1163 (1954).

Seebeck coefficient:

$$S = -\frac{U_{th}}{\Delta T}$$

Thermoelectric effects in semiconductors

Seebeck coefficient:

$$S = -\frac{U_{\text{th}}}{\Delta T} = S_{\text{d}} + S_{\text{PD}}$$

thermodiffusion: $S_{\text{d}} = -\frac{k_{\text{B}}}{e} \left(r + \frac{5}{2} - \eta \right)$

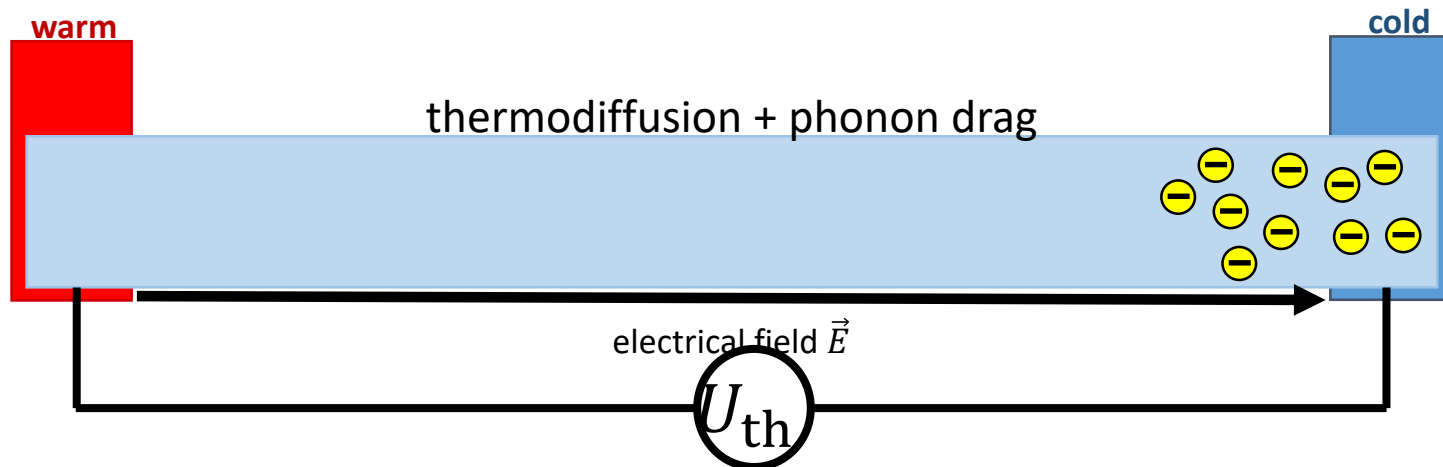
Stratton, Phys. Rev. **126**, 2002 (1962).

phonon drag: $S_{\text{PD}} = -\frac{v^2}{T} \cdot \frac{1}{\mu_{\text{AP}}} \cdot \tau_{\text{Ph}}$

Herring, Phy. Rev. **96**, 1163 (1954).

Hutson, JAP **32**, 2287 (1961).

Smith and Butcher, J. Physics: Cond. Mat. **2**, 2375–2382 (1990).



Phonon drag contribution to the Seebeck coefficient

phonon drag:

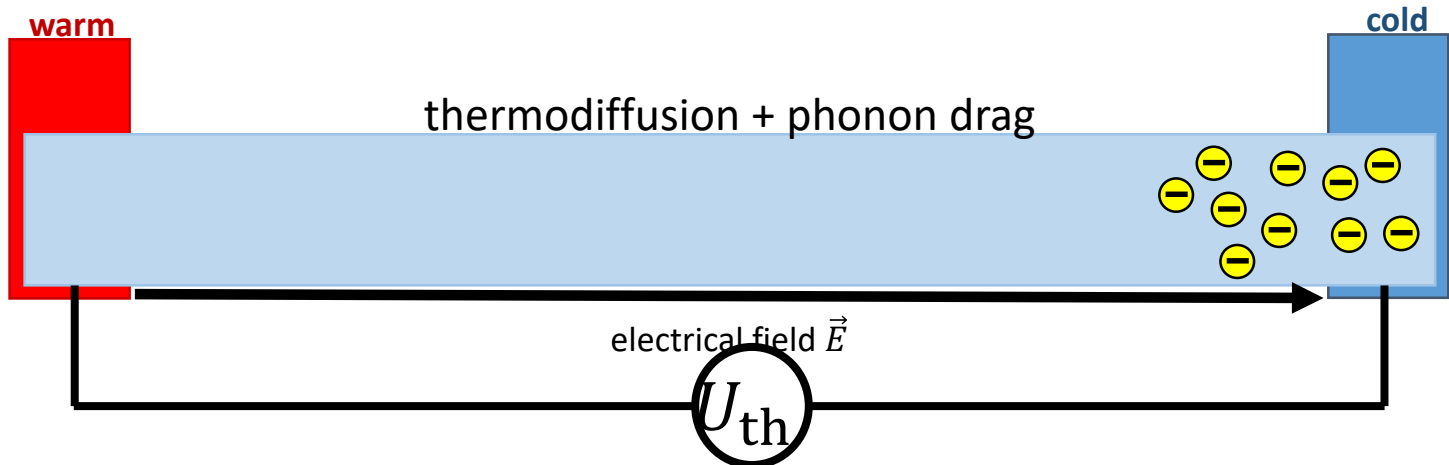
$$S_{\text{PD}} = -\frac{v^2}{T} \cdot \frac{1}{\mu_{\text{AP}}} \cdot \tau_{\text{Ph.}}$$

$$= \frac{m^* v^2}{eT} \cdot \frac{\tau_{\text{Ph.-Ph.}}}{\tau_{\text{El.-Ph.}}}$$

Herring, *Phy. Rev.* **96**, 1163 (1954).

Hutson, *JAP* **32**, 2287 (1961).

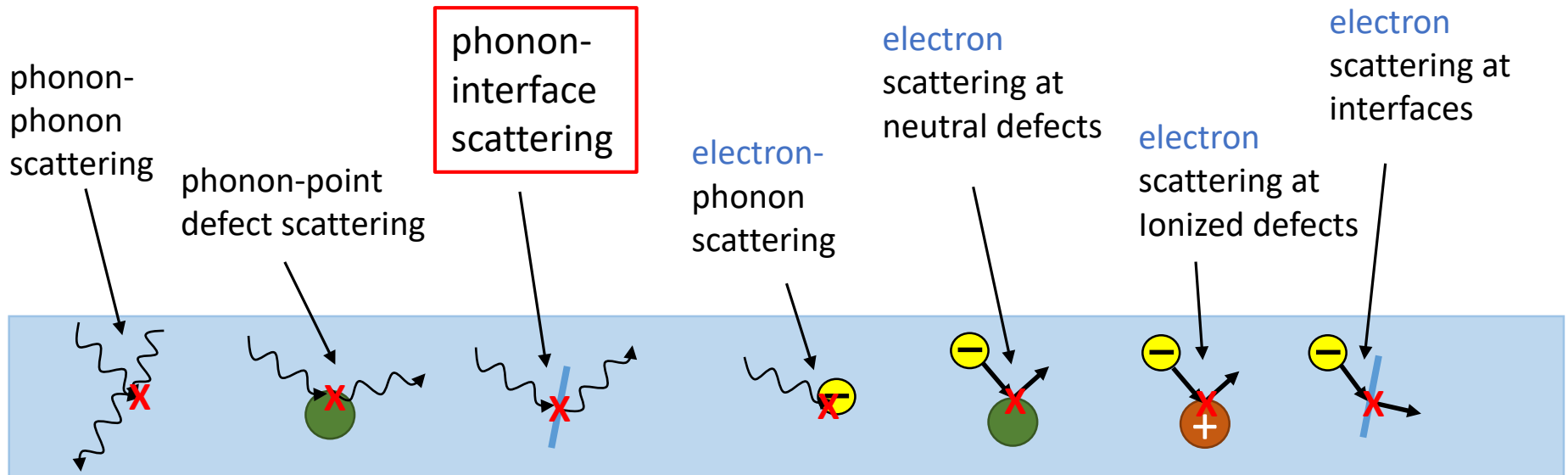
Smith and Butcher, *J. Physics: Cond. Mat.* **2**, 2375–2382 (1990).



Thermoelectricity: Full „zoo" of scattering mechanisms

phonon 

electron 



Reduced by...

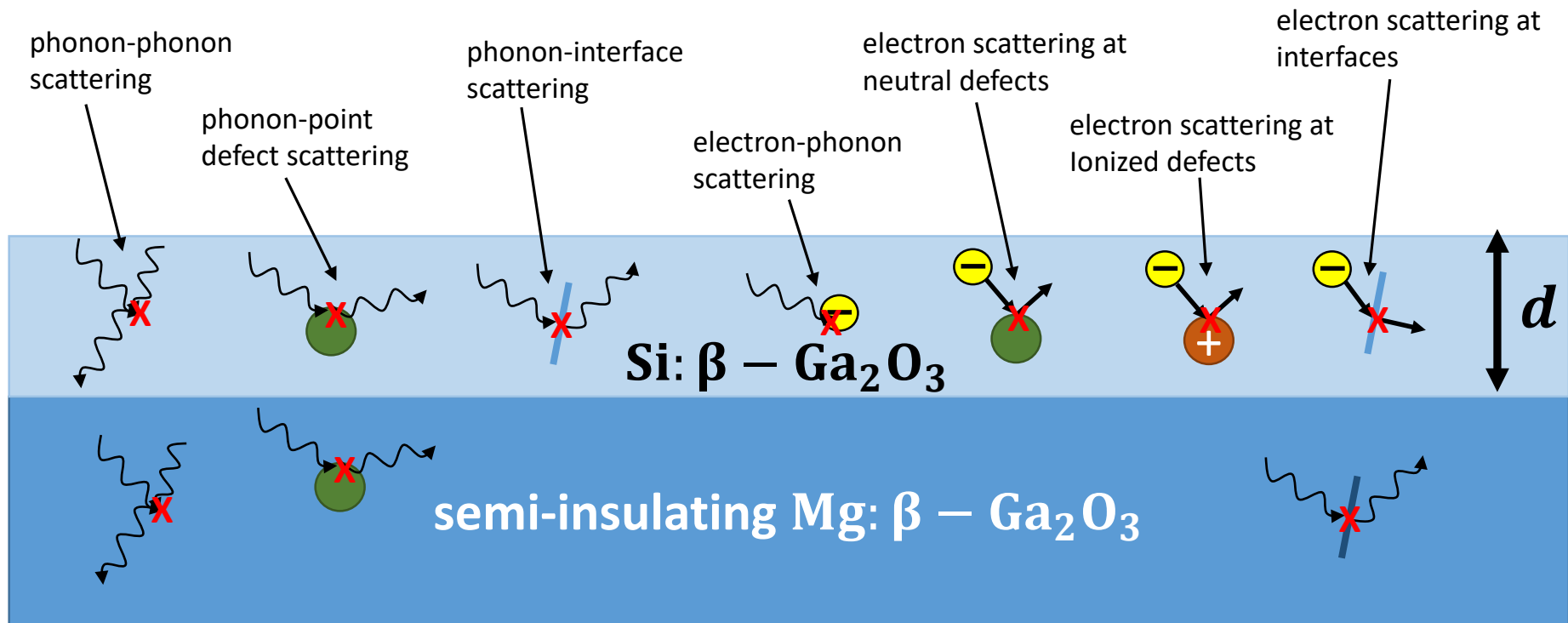
Temperature

Expitaxy

Homoepitaxy

Homoepitaxial films of β -Ga₂O₃

→ Selection of in-plane phonons for phonon-drag effects by choosing a film thickness below the phonon mean-free path

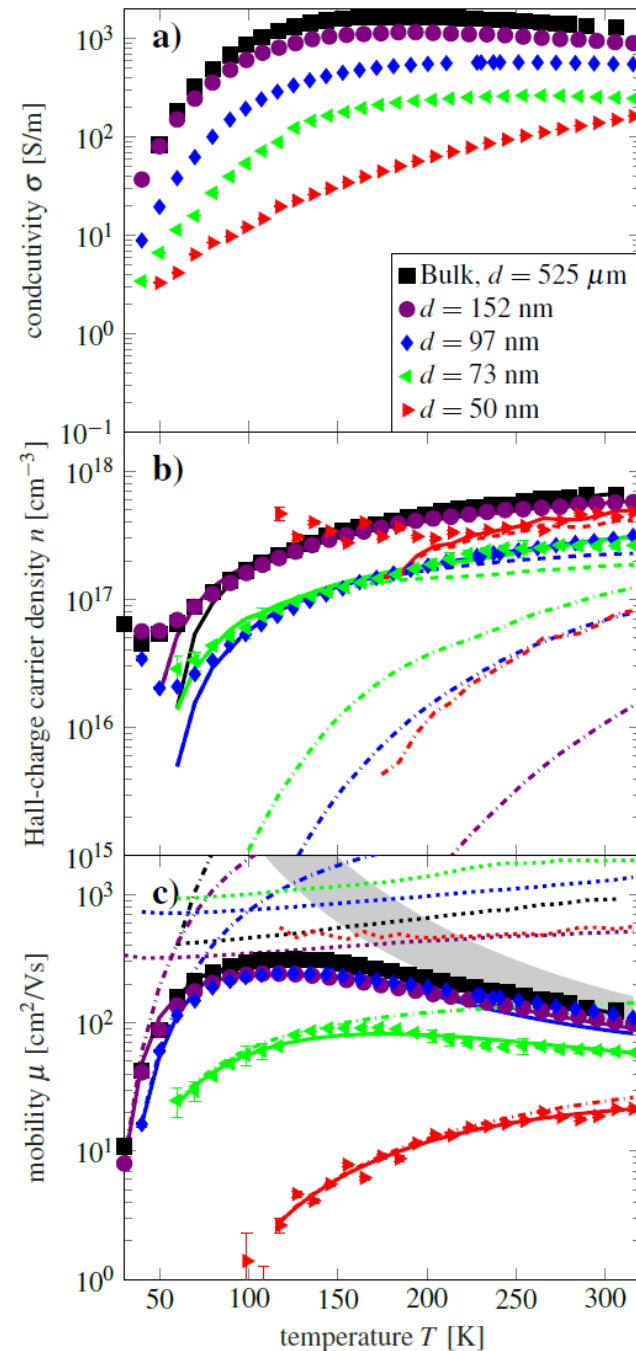


Homoepitaxial films of β -Ga₂O₃:

Electrical conductivity

Charge carrier density

Mobility



J. Boy, *et al.*, *APL Mater.* **7**, 022526 (2019).

J. Boy, *PhD Thesis* (2022)

Homoepitaxial films of β -Ga₂O₃:

reduced
chemical potential η

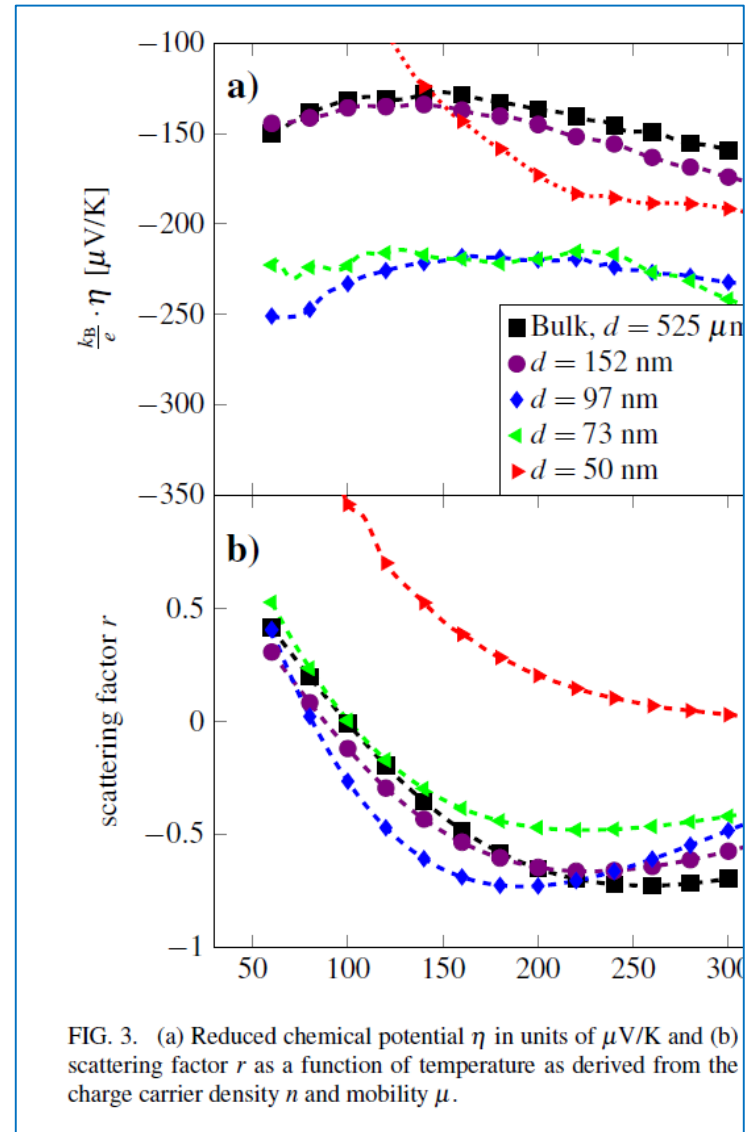
scattering factor r



thermodiffusion:

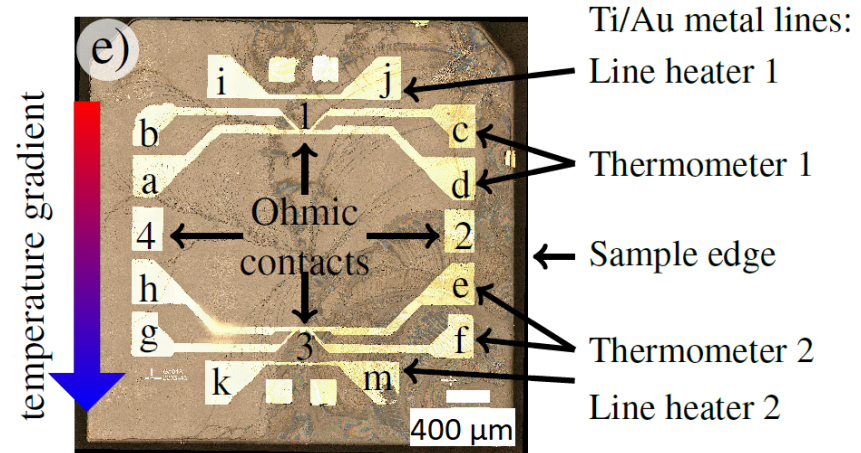
$$S_d = -\frac{k_B}{e} \left(r + \frac{5}{2} - \eta \right)$$

Stratton, Phys. Rev. **126**, 2002 (1962).

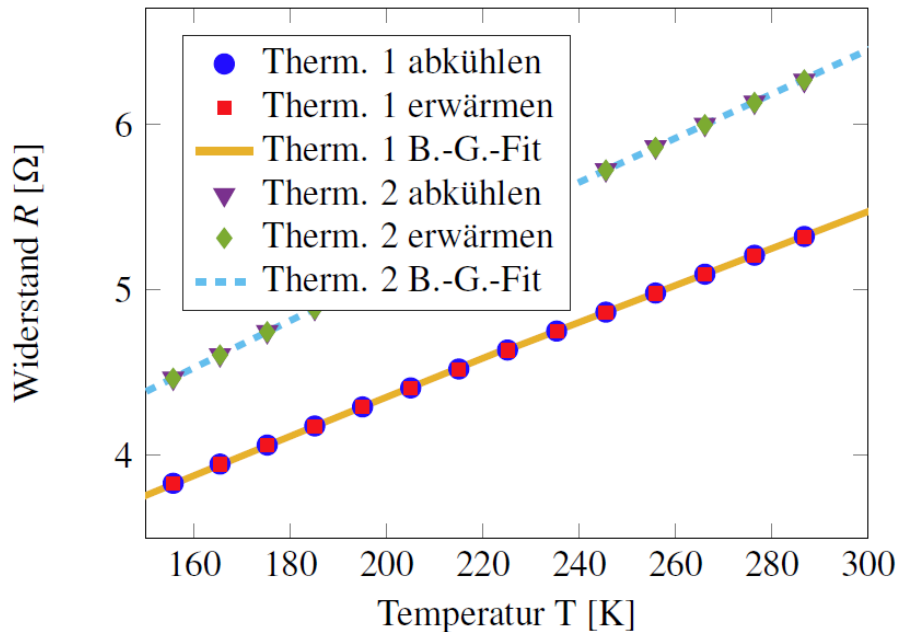


J. Boy, et al., *APL Mater.* **7**, 022526 (2019).

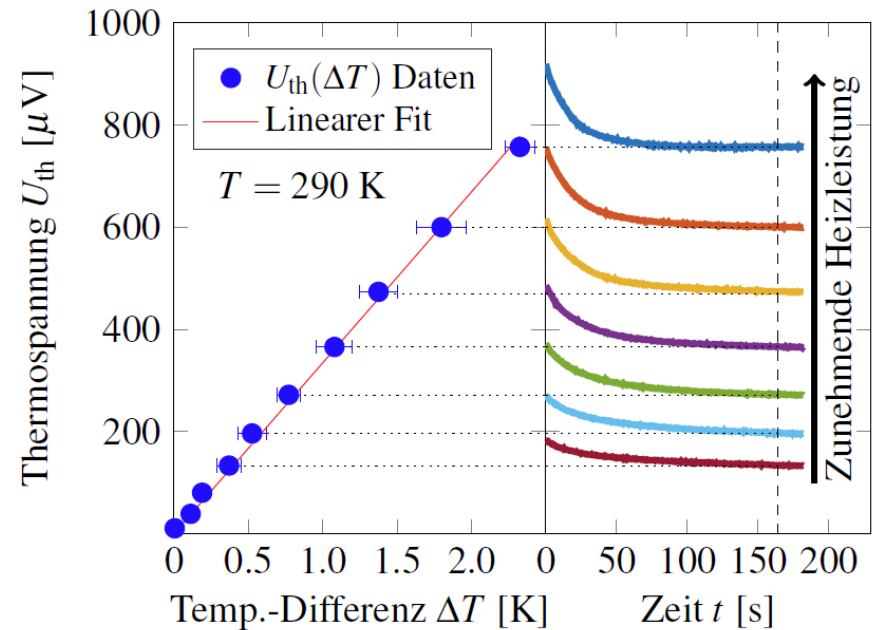
Thermoelectric micro measurement platform



Heater lines



Thermovoltages



Giant phonon drag increase in $\beta - \text{Ga}_2\text{O}_3$ homoepitaxial thin films

$$S_{\text{PD}} = -\frac{v^2}{T} \frac{1}{\mu_{\text{AP}}} \tau_{\text{Ph.}} = \frac{m^* v^2}{eT} \cdot \frac{\tau_{\text{Ph.}}}{\tau_{\text{El.-Ph.}}}$$

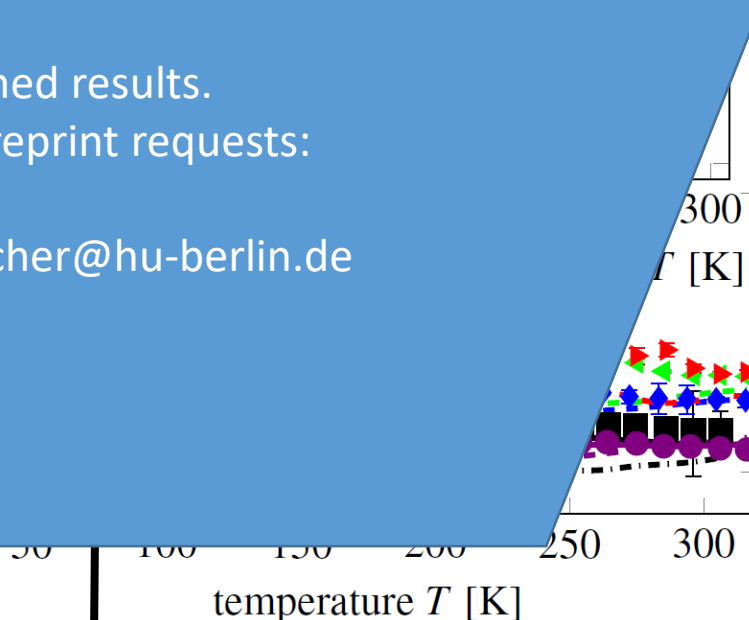
Calc. thermodiffusion part
fits well to theoretical work

Kumar and Singiseti *APL* **117**, 262104 (2020).

Strong
incr
of
p

Unpublished results.
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S_{PD}



$$A = T \cdot S_{\text{PD}} \propto \frac{\tau_{\text{Ph.}}}{\tau_{\text{El.-Ph.}}}$$

thermodiffusion

Summary - Thermoelectric properties

Phonon-drag: A measure of electron-phonon interactions

- Thermoelectric voltages and Seebeck-coefficients

Phonon-transparent interfaces

- Thin film growth by homoepitaxy

Control of the effective electron-phonon interaction cross-section

- Film thickness below phonon mean-free path



Giant-phonon drag increase by design

- selection of relevant in-plane phonons

Outlook: Results are generally valid for a wide range of materials.-

Transport properties of $\beta - \text{Ga}_2\text{O}_3$ single crystals and thin films

Anisotropic thermal conductivity & ballistic phonon transport

Handwerg, *et al.*, *Semicond. Sci. Technol.* 30, 024006 (2015).

Handwerg, *et al.*, *Semicond. Sci. Technol.* **31**, 125006 (2016).

R. Ahrling, *PhD Thesis* (2023)

Electrical properties & size effects of homoepitaxial thin films & flakes:

R. Mitdank, *et al.*, *Phys. Stat. Sol., A* 211, 543-549 (2014).

R. Ahrling, *et al.*, *Scientific Reports* **9**, 13149 (2019).

Seebeck coefficients & (Giant-)phonon drag increase by thin film design

J. Boy, *et al.*, *APL Mater.* **7**, 022526 (2019).

J. Boy, *PhD Thesis* (2022)

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